			,					Page	<u>1 of 5</u>
· INFORMATION		<b>Atty. Docket No.:</b> 275.0003 0102 <b>Serial No.:</b> 10/038,984							
DISCLOSURE STATEMENT		Confirmation No. 9705							
		Ap	Applicant(s): Yin-Xiong Li et al.						
The state of the s			Fil	ing Date: Jai	nuary 4, 2002	Grou	<b>p:</b> 1635	- *	
	70	JUL 0 2 2002 E							
	<u>    [7]</u>	<u> </u>		S. PATENT	DOCUMENTS			,	
Examiner Documents umber		r	Date	Name	Class	SubClass		Date If opriate	
7		5, 422,241		06/06/95	Goldrick et al.				
•								•	
		F(	ORE	IGN PATE	T DOCUMENTS		· · · · · · · · · · · · · · · · · · ·		
		Document Numbe	r	Date	Country	Class	SubClass		slation
TV		WO 99/32619		07/01/99	PCT	1		Yes	No
1		WO 99/38537		08/05/99	PCT	+		<del> </del>	
		WO 99/61631		12/02/99	PCT	<del> </del> -			-
<del>- \                                   </del>		WO 99/01031		12/02/99	rci		<u> </u>	l	L
77		Alvarado et al., "Double-stranded RNA specifically disrupts gene expression during planarian regeneration," <i>Proc. Natl. Acad., Sci. USA</i> , 1999; 96:5049-5054.  Amirthalingam et al., "Embryonic expression and DNA-binding properties of							
1-1		zebrafish pax-6," Biochem Biophys Res Commun., 1995; Oct 4; 215(1):122-8.							
	_	Barstead, "Genome-wide RNAi," Curr Opin Chem Biol., 2001 Feb; 5(1):63-6.							
		Baulcombe, "RNA silencing. Diced defence," <i>Nature</i> , 2001 Jan 18; 409(6818): 295-6.							
		Bernstein et al., "Role for a bidentate ribonuclease in the initiation step of RNA interference," <i>Nature</i> , 2001 Jan 18; 409(6818):363-6.							
		Bosher et al., "RNA interference: genetic wand and genetic watchdog," <i>Nature Cell Biol.</i> , 2000 Feb; 2(2):E31-E36.							
		Caplen et al., "Specific inhibition of gene expression by small double-stranded RNAs in invertebrate and vertebrate systems," <i>Proc Natl Acad Sci U S A</i> , 2001 Aug 14; 98(17):9742-7.							
		Caplen et al., "dsRNA-mediated gene silencing in cultured <i>Drosophila</i> cells: a tissue culture model for the analysis of RNA interference," <i>Gene</i> , 2000; 252:95-105.							
		Carthew, "Gene silencing by double-stranded RNA," Curr Opin Cell Biol., 2001 Apr; 13(2):244-8.							
		Depraetere, "Biotechnology: If in doubt, interfere," [online]. Nature News  Service: science update, 2000-01-04. Retrieved from the Internet:							

EXAMPLE DE CONTRACTO	Date Considered			
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.				

<b>INFORMATION</b>
DISCLOSURE
STATEMENT &
JUL 0 2 2002
TRADEWAPT.

	X USC 2 OI J
Atty. Docket No.: 275.0003 0102	Serial No.: 10/038,984
	Confirmation No. 9705
Applicant(s): Yin-Xiong Li et al.	

Filing Date: January 4, 2002 Group: 1635

TM	Fire et al., "Potent and specific genetic interference by double-stranded RNA in Caenorhabditis elegans," Nature, 1998; 391:806-811.
	Fire, "RNA-triggered gene silencing," Trends Genet., 1999; 15(9):358-363.
	Grishok et al, "Genetic Requirements for Inheritance of RNAi in <i>C. elegans</i> ," <i>Science</i> , 2000 Mar. 31; 287(5462):2494-2497.
	Guo et al., "par-1, a Gene Required for Establishing Polarity in C. elegans Embryos, Encodes a Putative Ser/Thr Kinase That Is Asymmetrically Distributed," <i>Cell</i> , 1995 May 19; 81:611-620.
	Halpern et al., "Induction of Muscle Pioneers and Floor Plate Is Distinguished by the Zebrafish <i>no tail</i> Mutation," <i>Cell</i> ,1993;75:99-111.
	Halpern et al. "Genetic Interactions in Zebrafish Midline Development," <i>Dev. Biol.</i> , 1997; 187:154-170.
	Hammond et al., "Post-transcriptional gene silencing by double-stranded RNA," <i>Nat Rev Genet</i> , 2001 Feb; 2(2):110-9.
	Herrmann et al., "Cloning of the <i>T</i> gene required in mesoderm formation in the mouse," <i>Nature</i> , <i>343</i> :617-622 (1990).
	Iordanov et al., "Activation of NF-kB by double-stranded RNA (dsRNA) in the absence of protein kinase R and RNase L demonstrates the existence of two separate dsRNA-triggered antiviral programs," <i>Mol Cell Biol.</i> , 2001 Jan; 21(1):61-72.
	Kaufman, "Double-stranded RNA-activated protein kinase mediates virus-induced apoptosis: a new role for an old actor," <i>Proc Natl Acad Sci U S A.</i> , 1999 Oct 12; 96(21):11693-5.
	Kennerdell et al., "Use of dsRNA-Mediated Genetic Interference to Demonstrate that <i>frizzled</i> and <i>frizzled</i> 2 Act in the Wingless Pathway," <i>Cell</i> , 1998; 95:1017-1026.
	King et al., "STAT1 is inactivated by a caspase," <i>J Biol Chem.</i> , 1998 Apr 10; 273(15):8699-704.
	Kumar et al., "Antisense RNA: Function and Fate of Duplex RNA in Cells of Higher Eukaryotes," <i>Microbiol. Mol. Biol. Rev.</i> , 1998; 62(4):1415-1434.
	Lau et al., "Embryonic XMab2112 expression is required for gastrulation and subsequent neural development," <i>Biochem Biophys Res Commun.</i> , 2001 Feb 9; 280(5):1378-84.

EXAMINER DOON	Unlamore	Date Considered	8/25	04

Atty. Docket No.: 275.0003 0102 Serial No.: 10/038,984 **Confirmation No. 9705** 

STATEMENT &			Commination No. 9703	
JUL 0 2 2002		Applicant(s): Yin-Xiong Li et al.		
		Filing Date: January 4, 2002	<b>Group:</b> 1635	
	PRADEMAPHO!			
1	Lee et al., "A l	Molecular Titration Assay to Measur ods in Enzymology, 1987; 152:633 &	•	
Li et al., "Double-Stranded RNA Injection Produces Null Phenotypes in Zebrafish," <i>Dev. Biol.</i> , 217(2):394-405 (available in print January 15, 2000; published electronically January 11, 2000).				
Li et al., "Erratum" of Dev Biol 2000 Jan 15;217(2):394-405, appears in Dev. Biol., 2000 April 15; 220(2):432.			):394-405, appears in <i>Dev</i> .	
	caspase inhibit	ction of necrotic-like cell death by to ors: novel mechanism for killing vi 74(16):7470-7.		
		RelA(p65) subunit of NF-kB is essential for inhibiting ed RNA-induced cytotoxicity," <i>J. Biol Chem.</i> , 2001 Jan 12;		
	structure is det	al., "Translation inhibition by an mRNA coding region secondary letermined by its proximity to the <u>AUG</u> initiation codon," <i>J. Mol</i> Aug 5; 226(3):609-21.		
		by et al., "Spatial Regulation of <i>floating head</i> Expression in the Developing ochord," <i>Dev. Dyn.</i> , 1997; 209(2):156-165.		
	1	et al., "RNA as a target of double-stranded RNA-mediated genetic a Caenorhabditis elegans," Proc. Natl. Acad. Sci. USA, 95:15502-		
	1	ontgomery et al., "Double-stranded RNA as a mediator in sequence-specific netic silencing and co-suppression," <i>Trends Genet.</i> , 1998; 14(7):255-258.		
	Ngô et al., "Double-stranded RNA induces mRNA degradation in <i>Trypanosomo brucei</i> ," <i>Proc. Natl. Acad. Sci. USA</i> , 1998; 95(25):14687-14692.			
Nishikawa et al., "Targeted disruption of a pupal hemocyte protein of Sarcopl by RNA interference," <i>Eur J Biochem.</i> , 2001 Oct; 268(20):5295-9.		emocyte protein of Sarcophaga		
Nüsslein-Volhard, "Of Flies and Fishes," Science, 1994; 266(5185):572-574		1994; 266(5185):572-574.		
		Oates et al., "Too much interference: injection of double-stranded RNA has nonspecific effects in the zebrafish embryo," <i>Dev. Biol.</i> , 2000 Aug 1; 224(1):20-8		
		I., "Photochemical cross-linking of cap binding proteins to eucaryotic ect of mRNA 5' secondary structure," <i>Mol Cell Biol.</i> , 1985 Nov; 80.		
		l., "Wnt Signaling and an APC-Relates Embryos," <i>Cell</i> , 1997; 90:707-716.	·	
1	Russell et al., "Double-stranded RNA triggers generalized translational arrest in Xenopus oocytes," Biochem. Biophys. Res. Comm., 1993; 194(2):892-900.			



	1 460 4 01 3
Atty. Docket No.: 275.0003 0102	Serial No.: 10/038,984
	Confirmation No. 9705

Applicant(s): Yin-Xiong Li et al.

Filing Date: January 4, 2002 Group: 1635

A DEMARK CO.
Schulte-Merker et al., "The protein product of the zebrafish homologue of the mouse T gene is expressed in nuclei of the germ ring and the notochord of the early embryo," Development, 1992 Dec; 116(4):1021-32.
Schulte-Merker et al., "no tail (ntl) is the zebrafish homologue of the mouse T (Brachyury) gene," Development, 1994 Apr; 120(4):1009-15.
Sharp et al., "RNA Interference," <i>Science</i> , 2000 Mar. 31; 287(5462):2431-2433.
Smalheiser, et al., "RNAi and brain function: was McConnell on the right track?" <i>Trends Neurosci.</i> , 2001 Apr; 24(4):216-8.
Smyth, "Gene silencing: Cosuppression at a distance," <i>Curr. Biol.</i> , 1997; 7(12):R793-795.
Svoboda et al., "Selective reduction of dormant maternal mRNAs in mouse oocytes by RNA interference," <i>Development</i> , 2000; 127:4147-4155.
Tabara et al., "RNAi in <i>C. elegans</i> : Soaking in the Genome Sequence," <i>Science</i> , 1998; 282(5388):430-431.
Timmons et al., "Specific interference by ingested dsRNA," <i>Nature</i> , 1998 Oct.; 395(6705):854.
Vacca, Laboratory Manual of Histochemistry, Raven Press, New York, 1985, Title page, publication page, table of contents, and pgs. 352-354.
Wargelius et al., "Double-Stranded RNA Induces Specific Developmental Defects in Zebrafish Embryos," <i>Biochem. Biophys. Res. Comm.</i> , 1999; 263(2):156-161.
Wassenegger et al., "A model for RNA-mediated gene silencing in higher plants," <i>Plant Mol. Biol.</i> , 1998; <i>37</i> (2):349-362.
Waterhouse et al., "Virus resistance and gene silencing in plants can be induced by simultaneous expression of sense and antisense RNA," <i>Proc. Natl. Acad. Sci. USA</i> , 1998; 95(23):13959-13964.
Weaver et al., "Apoptosis is promoted by the dsRNA-activated factor (DRAF1) during viral infection independent of the action of interferon or p53," FASEB J. 2001 Feb; 15(2):501-15.
Westerfield, The Zebrafish Book. A guide for the laboratory use of zebrafish (Danio rerio) 3 <sup>rd</sup> edition, [online]. 1993, University of Oregon Press, Eugene, OR. Retrieved from the Internet: <url:zfish.uoregon.edu zf%5finfo="" zfbk.html="" zfbook="">; Title page, Publication page, Table of Contents only, 7 pgs.</url:zfish.uoregon.edu>

	TRADEMA			
	Wianny et al., "Specific interference with gene function by double-stranded RNA in early mouse development," <i>Nature Cell Biol.</i> , 2:70-75 (available in print			
'	February 2000; published electronically December 23, 1999).			
	Wilkinson, "Whole mount <i>in situ</i> hybridization of vertebrate embryos," <i>In situ</i> hybridization, a practical approach, Rickwood et al., eds., IRL Press, Oxford, 1992, Title page, publication page, table of contents, and pgs. 75-83.			
	Willett et al., "Expression of zebrafish <i>rag</i> genes during early development identifies the thymus," <i>Dev Biol.</i> , 1997 Feb 15; <i>182</i> (2):331-41.			
	Xie et al., "A ribozyme-mediated, gene "knockdown" strategy for the identification of gene function in zebrafish," <i>Proc. Natl. Acad. Sci. USA</i> , 1997; 94(25):13777-13781.			
	Yang et al., "Specific double-stranded RNA interference in undifferentiated mouse embryonic stem cells," <i>Mol Cell Biol.</i> , 2001 Nov; 21(22):7807-16.			
	Yeung et al., "Inhibitory role of the host apoptogenic gene PKR in the establishment of persistent infection by encephalomyocarditis virus in U937 cells," <i>Proc Natl Acad Sci U S A</i> , 1999 Oct 12; 96(21):11860-5.			
	Zamore, "RNA interference: listening to the sound of silence.," <i>Nat Struct Biol.</i> , 2001 Sep; 8(9):746-50.			
	Zhao et al., "Double-Stranded RNA Injection Produoces Nonspecific Defects in Zebrafish," <i>Developmental Biology</i> , 2001; 229:215-223.			
EXAMINER Date Considered				
Dram Dulemare 8/25/04				

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Based on Form PTO-FB-A820 Patent and Trademark Office, U.S. Department of Commerce (Also form PTO-1449)

EXAMINER	Date Considered

MAR 2 5 2004

Atty. Docket No.: 275.00030102	Serial No.: 10/038,984			
Applicant(s): Li et al.	Confirmation No.: 9705			
Application Filing Date: January 4, 2002	<b>Group:</b> 1635			
Information Disclosure Statement mailed:	March 23, 2004			

U.S. PAT

U.S. PATENT DOCUMENTS

- V	THROUGHT	<del>/</del>		CUMENTS		6.1.1	Siting Date 16
Examiner Initial	Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
7		4,897,355	01/30/90	Eppstein et al.	435	240.2	
1		5,107,065	04/21/92	Shewmaker et al.	800	205	
		5,264,618	11/23/93	Felgner et al.	280)	224	
		5,279,833	01/18/94	Rose		450	
		5,283,184	02/01/94	Jorgensen et al.	1	1723	
		5,283,185	02/01/94	Epand et al.		172.3	
		5,459,127	10/17/95	Felgner et al.	514	7	
		5,583,021	12/10/96	Dougherty et al.	435	172.3	
		5,837,533	11/17/98	Boutin	435	380,1	
		5,922,602	07/13/99	Kumagai et al.	435	468	
		5,932,241	08/03/99	Gorman	4	450	
		6,127,170	10/03/00	Boutin	435	320,1	
		5,981,505	11/09/99	Weiner et al.	514	44	
		6,217,900	04/17/01	Ciccarelli et al.	424	450	
		6,482,804	11/19/02	Musunuri et al.	514	44	
		6,506,559	01/14/03	Fire et al.	435	6	
		US 2002/0114784 A1	08/22/02	Li et al.	424	95.2	
		US 2002/0173478 A1	11/21/02	Gerwitz et al.	514	44	
		US 2002/0162126 A1	10/31/02	Beach et al.	800	8	
		US 2003/0056235 A1	03/20/03	Fire et al.	800	8	
		US 2003/0051263 A1	03/13/03	Fire et al.	800	13	
		US 2003/0084471 A1	05/01/03	Beach et al.	800	278	
		US 2003/0180756 A1	09/25/03	Shi et al.	435		

EXAMINER	Date Considered
Droa Wilamore	8/25/04

<b>INFORMATION</b>
DISCLOSURE
STATEMENT

Atty. Docket No.: 275.00030102	Serial No.: 10/038,984
Applicant(s): Li et al.	Confirmation No.: 9705
Application Filing Date: January 4, 2002	<b>Group:</b> 1635
Information Disclosure Statement mailed:	March <u>33</u> , 2004

		miner itial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If
	7/0	TPE		US 2003/0157030 A1	08/21/03	Davis et al.	424	46	
Λ	(		\$610	US 2003/0228691 A1	12/11/03	Lewis et al.	435	375	
R		2 2 5		US 2003/0027783 A1	02/06/03	Zernicka-Goetz et al.	514	44	
١	S S	MADE	MARIX	US 2004/0018999 A1	01/29/04	Beach et al.	514	44	

## FOREIGN PATENT DOCUMENTS

Examiner	Сору	Document Number	Date	Country	Class	Subclass	Trans	lation
Initial	Enclosed		<u> </u>				Yes	No
W	х	AU 199919380	07/12/99	Australia		<b></b>		
	Х	AU 199929163	10/18/99	Australia		<u>.                                      </u>		
	Х	DE 101 00586	04/11/02	Germany (incl. English Abstract)	_			
	Х	EP 0242016	10/21/87	Europe				
	Х	WO 96/20951	07/11/96	PCT				
	Х	WO 97/35965	10/02/97	PCT				
	Х	WO 97/48793	12/24/97	PCT	~	· ·		
	Х	WO 98/05770	02/12/98	PCT				
	Х	WO 98/36083	08/20/98	PCT				
	Х	WO 99/61636	12/02/99	PCT	_			
	Х	WO 99/49029	09/30/99	PCT				
	Х	WO 99/53050	10/21/99	PCT				
	Х	WO 99/32619	07/01/99	PCT				
	Х	WO 00/01846	01/13/00	PCT				
. , ,	Х	WO 00/44895	08/03/00	PCT (incl. English Abstract)				
W	Х	WO 00/44914	08/03/00	PCT				

EXAMINER	Date Considered
Whow Ohnlewice	8/25/04
*Examinary Initial if situation concidered whether or not situation is in so	formance with MPEP 600. Draw line through citation if not in

Atty. Docket No.: 275.00030102	Serial No.: 10/038,984			
Applicant(s): Li et al.	Confirmation No.: 9705			
Application Filing Date: January 4, 2002	<b>Group:</b> 1635			
Information Disclosure Statement mailed:	March 23_, 2004			

া	THE T	1	Х	WO 00/49035	08/24/00	PCT	
MAR		वी	X	WO 00/63364	10/26/00	PCT	
חאור	5 200%	4	X	WO 01/29058	04/26/01	PCT	
æ <sub>ac</sub>	MABYO		X	WO 01/36646	05/25/01	PCT	
			X	WO 01/75164	10/11/01	PCT	
			X	WO 01/68836	09/20/01	PCT	
ı		-	X	WO 01/88121	11/21/01	PCT	

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copy Enclosed	Document Description
TV	X	Angell et al., "Consistent gene silencing in transgenic plants expressing a replicating potato virus X RNA," <i>EMBO J.</i> , 1997;16(12): 3675-3684.
X		Bahramian et al., "Transcriptional and Posttranscriptional Silencing of Rodent α1(I) Collagen by a Homologous Transcriptionally Self-Silenced Transgene," Molecular and Cellular Biology, Jan 1999;19(1): 274-283.
	Х	Baulcombe, David C., "Gene Silencing: RNA makes RNA makes no protein," Current Biology, 1999;9: R599-R601.
	Х	Baulcombe, David C., "Mechanisms of Pathogen-Derived Resistance to Viruses in Transgenic Plants," <i>The Plant Cell</i> , October 1996;8: 1833-1844.
	Х	Baulcombe et al., "Ectopic pairing of homologous DNA and post-transcriptional gene silencing in transgenic plants," <i>Plant Biotechnology</i> , 1996;7: 173-180.
	Х	Benfey et al., "Regulated Genes in Transgenic Plants," Science,14 April 1989;244: 174-181.
	Х	Branch, Andrea D., "A good antisense molecule is hard to find," <i>Trends in Biochem. Sci.</i> , February 1998;23:45-50.
X		Bruening, G., "Plant gene silencing regularized," <i>Prc. Natl. Acad. Sci. USA</i> , November 1998;95: 13349-13351.
	X	Cartea et al., "Comparison of sense and antisense methodologies for modifying the fatty acid composition of <i>Arabidopsis thaliana</i> oilseed," <i>Plant Science</i> , 1998;136: 181-194.

EXAMINER	Date Considered
Man Willemore	8/25/04
*Examiner: Initial if citation considered, whether or not citation is in con-	

conformance and not considered. Include copy of this form with next communication to applicant.

Atty. Docket No.: 275.00030102	Serial No.: 10/038,984
Applicant(s): Li et al.	Confirmation No.: 9705
Application Filing Date: January 4, 2002	<b>Group:</b> 1635
Information Disclosure Statement mailed:	March <u>23</u> , 2004

		Copy Enclosed	Document Description
0	3 3	Х	Cogoni et al., "Posttranscriptional Gene Silencing in <i>Neurospora</i> by a RecQ DNA Helicase," <i>Science</i> , 17 December 1999;286: 2342-2344.
AR 2	5 200, 200 20	X	Cogoni et al., "Gene silencing in <i>Neurospora crassa</i> requires a protein homologous to RNA-dependent RNA polymerase, <i>Nature</i> , 13 May 1999; 399: 166-169.
₫ <b>Q</b> E	MARKOER	Х	Crooke, Stanley T., Antisense Research and Application, Published by Springer-Verlang, Chapter 1: 1-50.
		X	Crystal Ronald G., "Transfer of Genes to Humans: Early Lessons and Obstacles to Success," <i>Science</i> , 1995; 270: 404-410.
		Х	Ding et al., "Cell-to-Cell movement of potato spindle tuber viroid," <i>The Plant Journal</i> , 1997;12: 931-936.
		Х	Dougherty et al., "Transgenes and gene suppression: telling us something new?," Current Opinion in Cell Biology, 1995;7: 399-405.
		Х	Elbashir et al., "Duplexes of 21-nucleotide RNAs mediate RNA interference in cultured mammalian cells," <i>Nature</i> , May 24 2001;411(6836): 494-498.
•		Х	Fire et al., "Production of antisense RNA leads to effective and specific inhibition of gene expression in C. elegans muscle," <i>Development</i> , 1991;113: 503-514.
		Х	Flavell, R.B., "Inactivation of gene expression in plants as a consequence of specific sequence duplication," <i>Proc. Natl. Acad. Sci. USA</i> , April 1994;91: 3490-3496.
		Х	Friedmann, "Overcoming the Obstacles," Scientific American, June 1997: 96-101.
		Х	Gale et al., "Translational Control of Viral Gene Expression in Eukaryotes," Microbiology and Molecular Biology Reviews, June 2000;64: 239-280.
		х	Ghislain et al., "The Interferon-Inducible Stat2:Stat1 Heterodimer Preferentially Binds In Vitro to a consensus Element Found in the Promoters of a Subset of Interferon-Stimulated Genes," J of Interferon Cytokine Res., 2001;21: 379-388.
	V	Х	Grant, Sarah R., "Dissecting the Mechanisms of Posttranscriptional Gene Silencing: Divide and Conquer," <i>Cell</i> , 5 February 1999;96: 303-306.

EXAMINER	Date Considered			
Door Mulemore	8/25/04			
*Examiner: Initial if ritation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in				

Atty. Docket No.: 275.00030102	Serial No.: 10/038,984
Applicant(s): Li et al.	Confirmation No.: 9705
<b>Application Filing Date:</b> January 4, 2002	<b>Group:</b> 1635
Information Disclosure Statement mailed:	March 23, 2004

Examiner Initial	Copy Enclosed	Document Description	
TVX		Hamilton et al., "A species of Small Antisense RNA in Posttranscriptional Gene Silencing in Plants," <i>Science</i> , 29 October 1999;286:950-952.	
	Х	Hamada et al., "Co-suppression of the hydrophobin gene <i>Hcf-1</i> is correlated with antisense RNA biosynthesis in <i>Cladosporium fulvum</i> ," <i>Mol Gen Genet.</i> , 1998;259: 630-638.	
25 22	X	Hartmann et al., "Activation of 2'-5' Oligoadenylate Synthetase by Single-stranded and Double-stranded RNA Aptamers," <i>Journal of Biological Chem.</i> , 6 February 1998;273(6): 3236-3246.	
AAKOVEJC!	7 х	Jaramillo et al., "The Interferon System: A review with Emphasis on the Role of PKR in Growth Control," <i>Cancer Invest.</i> , 1995;13(3)_: 327-338.	
	Х	Jensen et al., "Cosuppression of I Transposon Activity in Drosophila by I-Containing Sense and Antisense Transgenes," Genetics, December 1999;153: 1767-1774.	
	Х	Jorgensen et al., "An RNA-Based Information Superhighway in Plants," Science, 6 March 1998;279: 1486-1487.	
	Х	Ketting et al., "mut-7 of C. elegans, Required for Transposon Silencing and RNA Interference, Is a Homolog of Werner Syndrome Helicase and RnaseD," Cell, 16 October 1999;99: 133-141.	
	Х	Kooter et al., "Listening to the silent genes: transgene silencing, gene regulation and pathogen control," <i>Trends in Plant Science</i> , September 1999;4(9): 340-347.	
	Х	Kumagai et al., "Cytoplasmic inhibition of carotenoid biosynthesis with virus-derived RNA," <i>Proc. Natl. Acad. Sci. USA</i> , February 1995;92: 1679-1683.	
	Х	Lindbo et al., "Induction of a Hightly Specific Antiviral State in Transgenic Plants: Implications for Regulation of Gene Expression and Virus Resistance," <i>The Plant Cell</i> , December 1993;5: 1749-1759.	
	Х	Matzke et al., "Epigenetic silencing of plant transgenes as a consequence of divers cellular defence responses," <i>Cell Mol Life Sci</i> , 1998;54: 94-103.	
	Х	Metzlaff et al., "RNA-Mediated RNA Degradation and Chalocone Synthase A Silencing in Petunia," <i>Cell</i> , March 21, 1997;88:845-854.	

EXAMINER	Date Considered
Drag Onlemore	7/25/04

INFORMATION
DISCLOSURE
STATEMENT
Applicant(s): Li et al.
Application Filing Date: January 4, 2002
Information Disclosure Statement mailed:

March 23\_, 2004

	Examiner Initial	Copy Enclosed	Document Description
	17	Х	Misquitta et al., "Targeted disruption of gene function in <i>Drosophila</i> by RNA interference (RNA-i): A role for <i>nautilus</i> in embryonic somatic muscle formation," <i>Proc. Natl Acad. Sci. USA</i> , February 1999;96: 1451-1456.
PATEMI AND	2 5 20M	X \\(\sigma \) \(\sigma \) \(\	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus NM_030627, Accession No. NM_030621, "Homo sapiens Dicer1, Dcr-1 homolog (Drosophila) (Dicer1), transcript variant 2, mRNA" [online]. Bethesda, MD [retrieved on 2003 December 19]. Retrieved from the Internet: <url:www,ncbi.nlm.nih.gov entrez="" query.fcgi?cmd="Retreive&amp;db=nucleotide&amp;list_uids=29294648&amp;dopt=GenBank&amp;term=NM_030621&amp;qty=1">; 9 pgs.</url:www,ncbi.nlm.nih.gov>
		X	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus NM_148948, Accession No. NM_148948, "Mus musculus Dicer1, Dcr-1 homolog (Drosophila) (Dicer1), mRNA," [online]. Bethesda, MD [retrieved on 2003 December 19]. Retrieved from the Internet: <url:www,ncbi.nlm.nih.gov entrez="" list_uids="22507358&amp;dopt=GenBank&amp;term=NM_148948&amp;qty=1" query.fcgi?cmd="Retreive&amp;db=nucleotide&amp;">; 6 pgs.</url:www,ncbi.nlm.nih.gov>
		х	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus NM_003733, Accession No. NM_003733, "Homo sapiens 2'-5'-oligoadenylate synthetase-like (OASL), transcript variant 1, mRNA," [online]. Bethesda, MD [retrieved on 2003 December 19]. Retrieved from the Internet: <url:www,ncbi.nlm.nih.gov entrez="" query.fcgi?cmd="Retreive&amp;db=nucleotide&amp;list_uids=38016933&amp;dopt=GenBank&amp;term=NM_003733&amp;qty=1">; 4 pgs.</url:www,ncbi.nlm.nih.gov>
		X	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus HUMP68A, Accession No. M35663, "Human p68 kinase mRNA, complete cds.," [online]. Bethesda, MD [retrieved on 2003 December 19]. Retrieved from the Internet: <url:www,ncbi.nlm.nih.gov entrez="" query.fcgi?cmd="Retreive&amp;db=nucleotide&amp;list_uids=189505&amp;dopt=GenBank&amp;term=M35663&amp;qty=1">; 3 pgs.</url:www,ncbi.nlm.nih.gov>

	1 \ \
Door Mindernate	8/25/04

Atty. Docket No.: 275.00030102	Serial No.: 10/038,984	
Applicant(s): Li et al.	Confirmation No.: 9705	
Application Filing Date: January 4, 2002	<b>Group:</b> 1635	
Information Disclosure Statement mailed:	March 23, 2004	

	Examine Initial	r Copy Enclosed	Document Description
MAR MAR	TV	X	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus XM_010893, Accession No. XM_010893, "Homo sapiens signal transducer and activator of transcription 1, 91kD (STAT1), mRNA," [online]. Bethesda, MD [retrieved on 2003 December 19]. Retrieved from the Internet: <url:www,ncbi.nlm.nih.gov entrez="" query.fcgi?cmd="Retreive&amp;db=nucleotide&amp;list_uids=20533804&amp;dopt=GenBank&amp;term=NM_010893&amp;qty=1">; 4 pgs.</url:www,ncbi.nlm.nih.gov>
		X	National Center for Biotechnology Information, National Library of Medicine, National Institutes of Health, GenBank Locus S72725, Accession No. S72725, "E2/NS1=envelope glycoprotein [hepatitis C virus HCV, agammaglobulinemic patient isolate, Genomic RNA, 441 nt]," [online]. Bethesda, MD [2003 December 19]. Retrieved from the Internet: <url:www,ncbi.nlm.nih.gov entrez="" eotide&list_uids="619405&amp;dopt=GenBank&amp;term=S72725&amp;qty=1" query.fcgi?cmd="Retreive&amp;db=nucl">; 2 pgs.</url:www,ncbi.nlm.nih.gov>
		X	Pachuk et al., "DNA vaccines-challenges in delivery," Current Opinion in Molecular Therapeutics, 2002;2(2): 188-198.
		х	Pachuk et al., "Characterization of a new class of DNA delivery complexes formed by the local anesthetic bupivacaine," <i>Biochim. Biophys. Acta</i> , 2000;1468: 20-30.
		Х	Paddison et al., "Stable Suppression of gene expression by RNAi in mammalian cells," <i>Proc Natl Acad Sci USA</i> , 5 February 2002;99(3): 1443-1448.
		Х	Palauqui et al., "Systemic acquired silencing: transgene-specific post-transcriptional silencing is transmitted by grafting from silenced stocks to non-silenced scions," <i>The EMBO Journal</i> , 1997;16(15): 4738-4745.
		Х	Ratcliff et al., "A similarity Between Viral Defense and Gene Silencing in Plants," Science, 1997;276: 1558-1560.
		х	Romano et al., "Inhibition of Double-Stranded RNA-Dependent Protein Kinase PKR by Vaccinia Virus E3: Role of Complex Formation and the E3 N-Terminal Domain," <i>Molecular and Cellular Biology</i> , December 1998;18(12): 7304-7316.
	V	X	Ruiz et al., "Homology-dependent Gene Silencing in <i>Paramecium</i> ," <i>Molecular Biology of the Cell</i> , April 1998;9:931-943.

EXAMPLER	Date Considered		
I racy Windermare	8/25/04		
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation			

	Atty. Docket No.: 275.00030102	Serial No.: 10/038,984	
	Applicant(s): Li et al.	Confirmation No.: 9705	
Ī	Application Filing Date: January 4, 2002	<b>Group:</b> 1635	
Ì	Information Disclosure Statement mailed:	March 3 <sup>2</sup> , 2004	

Examiner Initial	Copy Enclosed	Document Description					
TV	Х	Ruiz et al., "Initiation and Maintenance of Virus-Induced Gene Silencing," <i>The Plant Cell</i> , June 1998;10:937-946.					
I P.	Х	Schiebel et al., "Isolation of an RNA-Directed RNA Polymerase-Specific CDN Clone from Tomato," <i>The Plant Cell</i> , December 1998;10:2087-2101.					
5 2004	X	Schofield et al., "Non-viral approaches to gene therapy," British Medical Bulletin, 1995;51(1): 56-71.					
Desc.	X	Stam et al., "Post-transcriptional Silencing of Chalcone Synthase in Petunia By Inverted Transgene Repeats," <i>The Plant Cell</i> , 1997;12(1):63-82.					
	Х	Tabara et al., "The <i>rde-1</i> Gene, RNA interference, and Transposon Silencing in C. elegans," Cell, October 1999;99:123-132.					
	Х	Timmons et al., "Specific Interference by ingested dsRNA," <i>Nature</i> , 1998;395(6705): 854.					
	х	Tuschl et al., "Targented mRNA degradation by double-stranded RNA in vitro," Genes & Development, 1999;13:3191-3197.					
	Х	Van Blokland et al., "Transgene-mediated suppression of chalcone synthase expression in <i>Petunia hybrida</i> results from an increase in RNA turnover," <i>The Plant Journal</i> , 1994;6: 861-877.					
	X	Verma et al., "Gene therapy- promises, problems and prospects," <i>Nature</i> , 18 September 1997;389:239-242.					
	X	Voinnet et al., "Systemic signalling in gene silencing," <i>Nature</i> , 9 October 1997;389: 553.					
	X	Voinnet et al., "Systemic Spread of sequence -Specific Transgene RNA Degradation in Plants is Initiated by Localized Introduction of Ectopic Promoterless DNA," <i>Cell</i> , October 1998;95:177-187.					
	Х	Wagner et al., "Double-Stranded RNA poses puzzle," Nature, 1998;391:744-745.					
	Х	Wassenegger et al., "RNA-directed De Novo Methylation of Genomic Sequences in Plants," <i>Cell</i> , 11 February 1994;76:567-576.					
V	Х	Willert et al., "A Drosophila Axin homolog, Daxin, inhibits Wnt signaling," Development, 1999;126: 4165-4173.					

Tracy Vivlemore	
EXAMINER	Date Considered
O room Windenwie	8/25/04
*Fyaminer: Initial if citation considered, whether or not citation is in co	onformance with MPEP 609; Draw line through citation if not in



Atty. Docket No.: 275.00030102	Serial No.: 10/038,984		
Applicant(s): Li et al.	Confirmation No.: 9705		
Application Filing Date: 4 January 2002	<b>Group:</b> 1635		
Information Disclosure Statement mailed:	April 23, 2003		

U.S. PATENT DOCUMENTS

0.071.12.0112.000							
Examiner Initial	Copies Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
TV	X	US 2002/0132257 A1	09/19/02	Giordano et al.	435	6	

## FOREIGN PATENT DOCUMENTS

Examiner	Copies	Document Number	○ Date	Country	Class	Subclass	Trans	slation
Initial	Enclosed			<u> </u>			Yes	No
		None						

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copies Enclosed	Document Description
TV	X	Ui Tei et al., "Sensitive assay of RNA interference in <i>Drosophila</i> and Chinese hamster cultured cells using firefly luciferase gene as target," <i>FEBS Letters</i> , 2000;479: 79-82.

**RECEIVED** 

MAY 0 2 2003

**TECH CENTER 1600/2900** 

Tracy Vivlemore

**Date Considered** 

25,2004

Page 1 of 1

INFORMATION MADE ISCLOSURE STATEMENT

	1 uge 1 0/ 1
Atty. Docket No.: 275.0003 0102	Serial No.: 10/038,984
Applicant(s): LI et al.	Confirmation No.: 9705
Application Filing Date:	<b>Group:</b> 1635
Information Disclosure Statement mailed:	May <u>  Ø</u> , 2004

## **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If
	None					

## FOREIGN PATENT DOCUMENTS

Examiner	Document Number	Date	Country	Class	Subclass	Trans	lation
Initial		<u> </u>				Yes	No
	None						

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Copies Enclosed	Document Description
TV	X	Katze, "Regulation of the Interferon-Induced PKR: can viruses cope?". Trends in Microbiology. Vol. 3, No. 2, February 1995, pp. 75-78
1	X	Proud, "PKR: a new name and new roles". Trends in Biochemical Sciences. June 1995, Volume 20, No. 6, pp. 241-246

Tracy Vivlemore

EXAMINER	Date Considered
Proon Mulamore	8/25/04
*Examiner: Initial if citation considered, whether or not citation is in co	nformance with MPEP 609; Draw line through citation if not in